

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Seth Marder; Joseph Perry
Serial No.: Not Yet Assigned
Filing Date: Herewith
Title: TWO-PHOTON OR HIGHER-ORDER ABSORBING OPTICAL MATERIALS AND METHODS OF USE

UTILITY PATENT APPLICATION & FEE TRANSMITTAL
(for nonprovisional applications under 37 CFR § 1.53(b))

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

I. Elements of the Application

- ☒ Application [0] page(s) of Cover Sheet
[119] page(s) of Specification
[32] page(s) of Claims (15 claims)
[0] page(s) of Abstract
[16] sheets of Drawings [] Formal [X] Informal
- ☒ Declaration and Power of Attorney [] page(s)
[] Unexecuted [] Executed [X] Copy from prior application (37CFR § 1.63(d))
- ☐ Deletion of Inventors: [] page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b))
- ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Nucleotide and/or Amino Acid Sequence Submission:
[] page(s) of Sequence Listing (paper copy)
[] disk(s) containing Sequence Listing (computer readable copy)
[] page(s) of Statement Under 37 CFR 1.821(f)
- ☐ Microfiche Computer Program Appendix _____

II. Claim for U.S. Priority

- ☒ This application is a ☐ Continuation ☒ Divisional ☐ Continuation-in-Part
of Prior Application No. 08/965,945 filed November 7, 1997. (35 USC § 120)
- ☐ This application claims the benefit under 35 U.S.C. § 119(e) of United States Application Serial
No. 60/_____ filed _____. (Provisional Application)
- ☐ The entire disclosure of the prior application referenced above, is considered as being part of the application
filed herewith and is herein incorporated by reference in its entirety.

III. Claim for Foreign Priority

- ☐ This application claims the benefit under 35 USC § 119 of Prior Application No. _____
filed _____.
- ☐ Certified Copy of Priority Document [] page(s)
- ☐ English Translation of Priority Document [] page(s)

IV. Accompanying Documents

- ☒ Assignment Papers [X] page(s) of Recordation Cover Sheet [X] 3 page(s) of Assignment was previously filed.
- ☒ Applicant(s) claims Small Entity Under 37 CFR § 1.27 [] Verified Small Entity Statement was previously filed in Prior Application No. 08/965,945 on November 7, 1997.
- ☒ Information Disclosure Statement [3] page(s) of PTO-1449 [] copies of IDS References.
- ☒ A Preliminary Amendment [25] page(s)
- ☐ A copy of a Petition for Extension of Time (_ mos.) filed simultaneously in Prior Application No. _____
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. _____
- ☒ Other Substitute Specification and clean claims (117 pages) (specify)
- ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)

V. Fee Calculation

<input checked="" type="checkbox"/> The following fees are submitted:					CALCULATIONS
			OTHER THAN SMALL ENTITY	SMALL ENTITY	\$
BASIC NATIONAL FILING FEE			\$ 710.00	\$ 355.00	\$
EXTRA CLAIMS FEE					
CLAIMS	# FILED	# EXTRA	RATE	RATE	
Total Claims	7 - 20 =		× \$18.00	× \$ 9.00	\$355.00
Independent claims	7 - 3 =	4	× \$80.00	× \$40.00	\$160.00
MULTIPLE DEPENDENT CLAIM(S)					
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			\$270.00	\$135.00	\$
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).					
_____ (Numbers of Applications) × \$40.00					\$
OTHER FEES _____ (specify)					\$
OTHER FEES _____ (specify)					\$
TOTAL FEES =					\$495.00

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No.: 50-1193, Docket No. 21182-7067, in the amount of \$ 495.00 to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1193, Docket No. 21182-7067.
A duplicate copy of this sheet is enclosed

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

Patricia Coleman James
 NAME

DATE

37,155
 REGISTRATION NUMBER